## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

The attached form PTO-1449 is submitted in compliance with 37 CFR §1.56. A copy of the cited art is included. No admission is made regarding whether the submitted reference is prior art.

Respectfully submitted,

Dated: 9-27-09

Mark S Mat

Reg. No. 32,268

09/30/2004 AWDNDAF1 00000003 10719640

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMERK OFFICE LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

ATTY. DOCKET NO. MI22-2440

SERIAL NO. 10/719,640

APPLICANT: Terry L. Gilton et al.

FILING DATE November 21, 2003 **GROUP** 2813

## U.S. PATENT DOCUMENTS

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| *Examiner's |       | Document  | Date                             | Name                                     | Class      | Subclass    | Filir  | ng Date<br>propriate |  |  |
|-------------|-------|---|----------------------------------|--|------------|-------------|--|----------------------|--|--|
| Initials    |       | Number  |                                  |  |            | <u> </u>    | ПАР  | ргорпате             |  |  |
|             | AA    |   |                                  |  |            |             |  |                      |  |  |
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|             | AD    |   |                                  |  |            |             |  |                      |  |  |
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|             | AF    |   |                                  |  |            |             |  |                      |  |  |
|             | AG    |   |                                  |  | <u> </u>   |             | <del>                                     </del> |                      |  |  |
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|             |       | Document<br>Number  | Date                             | Country                                  | Class      | SubclasS    |  | slation              |  |  |
|             | AJ.   |   |                                  |  | 1          |             | Yes  | No                   |  |  |
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| OTUED DE    |       |   | Till - D-1- D-                   | d'accet Bassa Etal)                      |            |             | ļ  |                      |  |  |
| OTHER RE    | FEREN | CES (including Author   | , Title, Date, Pe                | eninent Pages, Etc.)                     |            |             |  |                      |  |  |
|             | АМ    | Lee, Hyun-Yong et al., "Lithographic Properties of SiN <sub>x</sub> and Se <sub>75</sub> Ge <sub>25</sub> Thin Films as the Low-Energy Ion-Beam |                                  |  |            |             |  |                      |  |  |
|             |       | Resist", Proce<br>25-30 May 19  | edings of the 5<br>97, p.635-638 | h International Conference on Properties | and Applic | ations of D | ielectric M                                      | aterials             |  |  |
|             | AN    |   |                                  |  |            |             |  |                      |  |  |
|             | . 1   | <del></del>   |                                  |  |            |             |  |                      |  |  |
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|             | AO    |   |                                  |  |            | <del></del> |  |                      |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a

## TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

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|----------------------|---|--|--|--|--|
| Complete if Known    |   |  |  |  |  |
| Application Number   | 10/719,640  |  |  |  |  |
| Filing Date          | November 21, 2003   |  |  |  |  |
| First Named Inventor | Terry L. Gilton   |  |  |  |  |
| Examiner Name        | 2813  |  |  |  |  |
| Art Unit             | 2813  |  |  |  |  |
| Attorney Docket No.  | MI22-2440   |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)   | FEE CALCULATION (continued)  |             |
|--|--|-------------|
| X Check Credit card Money Other None   | 3. ADDITIONAL FEES   |             |
| X Deposit Account:   | Large Entity   Small Entity  |             |
| Donosit  | Fee Fee Fee Fee Description  | F B. ! 4    |
| Account Number   | 1051 130 2051 65 Surcharge - late filing fee or oath                               | Fee Paid    |
| Deposit  | 1052 50 2052 25 Surcharge - late provisional filing fee or                         |             |
| Account Name Wells St. John P.S.   | cover sheet  |             |
| The Director is authorized to: (check all that apply)  | 1053 130 1053 130 Non-English specification  |             |
| Charge fee(s) indicated below X Credit any overpayments                                      | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination              |             |
| Charge any additional fee(s) or any underpayment of fee(s)                                   | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action         |             |
| Charge fee(s) indicated below, except for the filing fee                                     | 1805 1,840* 1805 1,840* Requesting publication of SIR after                        |             |
| to the above-identified deposit account.   | Examiner action  |             |
| FEE CALCULATION  | 1251 110 2251 55 Extension for reply within first month                            | <del></del> |
| 1. BASIC FILING FEE  | 1252 420 2252 210 Extension for reply within second month                          |             |
| Large Entity Small Entity  Fee Fee Fee Fee Fee Description Fee Paid                          | 1253 950 2253 475 Extension for reply within third month                           | $\dashv$    |
| Code (\$) Code (\$)  | 1254 1,480 2254 740 Extension for reply within fourth month                        |             |
| 1001 770 2001 385 Utility filing fee   | 1255 2.010 2255 1.005 Extension for reply within fifth month                       |             |
| 1002 340 2002 170 Design filing fee  | 1401 330 2401 165 Notice of Appeal   |             |
| 1003 530 2003 265 Plant filing fee   | 1402 330 2402 165 Filing a brief in support of an appeal                           |             |
| 1004 770 2004 385 Reissue filing fee   | 1403 290 2403 145 Request for oral hearing   |             |
| 1005 160 2005 80 Provisional filing fee  | 1451 1,510 1451 1,510 Petition to institute a public use proceeding                |             |
| SUBTOTAL (1) (\$) Ø  | 1452 110 2452 55 Petition to revive - unavoidable                                  | •           |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  | 1453 1,330 2453 665 Petition to revive - unintentional                             |             |
| Fee from   | 1501 1,330   2501   665 Utility issue fee (or reissue)                             |             |
| Extra Claims below Fee Paid  Total Claims 17 -20** = 0 × 0 = 0                               | 11 I "   |             |
| Independent 2 2 2 1  | 1503 640 2503 320 Plant issue fee  |             |
| Claims 2 - 3 = 0 \ U = 0   1   0   1   0   1   0   1   0   1   0   1   0   1   0   0         | 1 1460 130 1460 130 Petitions to the Commissioner                                  |             |
| Large Entity   Small Entity  | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)                                | 100         |
| Fee Fee Fee Fee Description  | 1806 180 1806 180 Submission of Information Disclosure Stmt                        | 180         |
| Code (\$) Code (\$)  | 8021 40 Recording each patent assignment per property (times number of properties) | ·           |
| 1202 18 2202 9 Claims in excess of 20  | 1809 770 2809 385 Filing a submission after final rejection                        |             |
| 1201 86 2201 43 Independent claims in excess of 3  | (37 CFR 1.129(a))  |             |
| 1203 290 2203 145 Multiple dependent claim, if not paid                                      | 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))   |             |
| 1204 86 2204 43 ** Reissue independent claims over original patent                           | 1801 770 2801 385 Request for Continued Examination (RCE)                          |             |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent                    | 1802 900 1802 900 Request for expedited examination of a design application        |             |
| SUBTOTAL (2) (\$\d   | Other fee (specify)  |             |
| SUBTOTAL (2) (\$)\( \int \) **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.                           | .00         |
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SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Mark S. Matkin 32,268 624-4276 Telephone (509) Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.